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TO: Commissioner for Patents
USPTO - Group Art No. 3629

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MESSAGE**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: DIXON, Thomas A.

Jeff N. Maggioncalda et al.

Art Unit: 3629

Serial No.: 09/904,707

Confirmation No.: 4572


Filed: July 12, 2001

Any. Docket No.: 59036-249737

For: USER INTERFACE FOR A FINANCIAL ADVISORY SYSTEM THAT ALLOWS AN END USER TO INTERACTIVELY EXPLORE TRADEOFFS AMONG INPUT DECISIONS (as previously amended)

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

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Michelle L. Wyss

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CERTIFICATE OF MAILING

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FAEGRE & BENSON LLP

Michelle L. Wyss

Mail Stop **AMENDMENT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action mailed September 28, 2004, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

Amendment to the Claims is reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 20 of this paper.

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